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SUBMISSION OF MERRILL LYNCH CAPITAL MARKETS TO THE ONTARIO SECURITIES COMMISSION WITH RESPECT TO ITS POLICY REVIEW. Nov 15 2021

Report of The Office of the Chief Accountant of The Ontario Securities Commission Jan 24 2020

Report of the Committee of the Ontario Securities Commission on the Problems of Disclosure Raised For Investors by Business Combinations and Private Placements Dec 17 2021

A Step-by-step Guide to Making a Complaint : a Guide for Investors from the Ontario Securities Commission Sep 13 2021

A Guide for Investors from the Ontario Securities Commission Apr 01 2023

Brief to the Ontario Securities Commission May 02 2023

Investments... Apr 08 2021

Moose Pastures and Mergers Nov 27 2022 A history of the development of the Ontario Securities Commission from the post-war years to the increasingly complex financial world of the 1970s and 1980s.

Exempt Purchasers Jan 06 2021

Report of the Securities Industry Ownership Committee of the Ontario Securities Commission Aug 25 2022

A Step-by-step Guide to Making a Complaint Jun 30 2020 This guide describes the process for making a complaint with the

Ontario Securities Commission. It includes information on: types of complaints; addressing issues with securities firms; writing a complaint letter; filing a complaint; small claims court, arbitration and civil court.

An Introduction to Investing in Securities Mar 08 2021

Regulatory Burden Task Force Report to the Ontario Securities Commission. (Online) Jul 24 2022

The Toronto Stock Exchange Submission to the Ontario Securities Commission Respecting Commission Rates Jun 10 2021

Paper Submitted to the Ontario Securities Commission Hearing on Non-voting Shares Apr 28 2020

Report of the Ontario Securities Commission to : The Royal Commission on Banking and Finance Oct 27 2022

Report of the Committee of the Ontario Securities Commission on the Problems of Disclosure Raised for Investors by Business Combinations and Private Placements Oct 15 2021

Ontario Securities Commission Policies Sep 01 2020

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Report of the Committee of the Ontario Securities Commission on the Problems of Disclosure Raised for Investors by Business Combinations and Private Placements Aug 13 2021

The Securities Industry Ownership Committee of the Ontario Securities Commission Feb 04 2021

Ontario Securities Legislation Dec 25 2019

Securities Apr 20 2022

Annotated Ontario Securities Legislation Jan 30 2023

Submission to the Ontario Securities Commission in Respect of Its Securities Industry Review Sep 25 2022

Report of the Securities Industry Ownership Committee on the Ontario Securities Commission Jul 12 2021

Report on the Implications for the Canadian Capital Markets of the Provision by Financial Institutions of Access to Discount Brokerage Services Feb 25 2020

Briefs and Submissions to the Ontario Securities Commission, Industry Ownership Study Committee Mar 20 2022

Submission by the Toronto Stock Exchange to the Industry Ownership Study Committee of the Ontario Securities Commission Aug 01 2020

A Regulatory Framework for Entry Into and Ownership of the Ontario Securities Industry Jan 18 2022

Report Jun 22 2022

Bulletin of Ontario Securities Commission Feb 28 2023

Report of the Committee of the Ontario Securities Commission on the Problems of Disclosure Raised for Investors by Business Combinations and Private Placements May 10 2021

Regulatory Framework for Entry Into and Ownership of the Ontario Securities Industry Feb 16 2022

Submission by the Toronto Stock Exchange to the Industry Ownership Study Committee of the Ontario Securities Commission Mar 27 2020

Report of the Securities Industry Ownership Committee of the Ontario Securities Commission May 29 2020

The Fair Dealing Model May 22 2022

What We Heard: Dec 29 2022 This report summarizes a public meeting held to solicit views from Ontario investors on issues of importance to consumers of financial services & products. Major themes discussed are: navigating the existing process for resolving complaints about investment professionals; restitution for losses incurred as a result of inappropriate conduct by investment professionals; consultation by the Ontario Securities Commission with investors. The report also outlines the services related to investor complaint review & resolution that are provided by the Commission, investment industry associations, and the Ombudsman for Banking Services & Investments.

With Whom are You Dealing for Your Investment Services?. Nov 03 2020 This document is intended for use by retail investors to give them an overview of the registration system for investment dealers & advisers, as found in the Ontario Securities Act and Commodity Futures Act. Topics covered include the role of the Ontario Securities Commission, the importance of registration for consumer protection, how the securities industry is regulated, who needs to be registered, registration requirements, categories of registration, educational requirements, choosing a dealer or adviser, and responsibilities of the investor.

***Submissions to the Ontario Securities Commission in Respect of Its Securities Industry Review* Oct 03 2020**

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